

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USDIO.GOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/995,690

WASHINGTON, DC 20005-3934

STERNE, KESSLER, GOLDSTEIN & FOX PLLC

1100 NEW YORK AVENUE, N.W., SUITE 600

26111.

11/29/2001

James Y. Chang

1875.1210003

CONFIRMATION NO. 9803

FORMALITIES LETTER

OC000000007224275

Date Mailed: 12/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 JBALINAN 00000168 09995690

130.00 OP

01 FC:105



ATTORNEYS AT LAW



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*Admitted only in Maryland *Admitted only in Virginia *Admitted only in Texas

February 21, 2002

WRITER'S DIRECT NUMBER: (202) 371-2675 INTERNET ADDRESS: JHELVEY@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Box Missing Parts

Re:

U.S. Utility Patent Application

Appl. No.09/995,690; Filed: November 29, 2001

For: Apparatus for Reducing Flicker Noise in a Mixer Circuit

Inventor:

James Y.C. Chang

Our Ref:

1875.1210003/RES/JTH

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated December 21, 2001, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal Form PTO/SB/17;
- 2. Copy of the Notice to File Missing Parts;
- 3. Original Declaration, executed by the inventor;
- 4. Return postcard; and
- 5. Our Check No. 34225 for \$ 130.00 to cover Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Sterne, Kessler, Goldstein & Fox PLLC : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents February 21, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey T. Helvey

Attorney for Applicant

Registration No. 44,757

JTH/slw Enclosures

P:\USERS\SWILLIAM\TH Folder (New)\1875.1210003\reply to ntfmp-ptoltr Rev. 2/15/02 dcw

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
collection of Information unless it displays e velid OMB control number.

FEE TRANSMITTAL for FY 22 Under the Peperwork Reduction Act of 1995, no persons are required to respond to e for FY 2002

Satent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Complete if Known					
Application Number	09/995,690				
Filing Date	November 29, 2001				
First Named Inventor	James Y. C. Chang				
Examiner Name	To be Assigned				
Group Art Unit	To be Assigned				
Attorney Docket No.	1875.1210003	_			

METH	HOD OF PAYMENT (check one) FEE CALCULATION (continued)									
	missioner is hereby authorized to charge ses and credit any overpayment to:		TIONAL Entity		Entity					
Deposit Account Number	19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee paid		
Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - tate filing f	ee or oath	130.00		
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		127	50	227	25	Surcharge - late provisiona	I filing fee or cover she	et		
		139	130	139	130	Non-English specifi				
☐ Applicant claims smalt entity status See 37 CFR 1.27		147	2,520	147	2,520	For filing a request fo	nation			
		112	920*	112	920*	Requesting publication of action	er			
2. Payment Encid	osed:	113	1,840*	113	1,840*	Raquesting publication of	of SIR after Examiner	action		
Check Credit card Money Order Other* *Charge eny deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		115	110	215	55	Extension for reply within first month				
	FEE CALCULATION	116	400	216	200	Extension for reply w	ithin second month			
1. BASIC FILING F	EE	117	920	217	460	Extension for reply w	ithin third month			
	Large Entity Small Entity	118	1,440	218	720	Extension for reply w	ithin fourth month			
Fee Fee Fee Code (\$) Code	Fee Fee Description Fee Pald e (\$)	128	1,960	228	980	Extension for reply w	ithin fifth month			
101 740 201	370 Utility filing fee	119	32D	219	160	Notice of Appeal				
106 330 208	165 Design filing fee	120	320	220	160	Filing a brief in suppo				
107 510 207	255 Plant filing fee	121	28D	221	140	Request for oral hear				
108 740 208	370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a	ling			
114 160 214	80 Provisional filing fee	140	110	240	55	Petition to revive - un	avoidable			
		141	1,280	241	640	Petition to revive - unintentional				
SUBTOTAL	(1) (\$) 0	142	1,280	242	640	Utility issue fee (or reissue)				
		143	46D	243	230	Design issue fee				
		144	620	244	310	Plant issue fee				
		122	130	122	130	Petitions to the Comm	nissioner			
2. EXTRA CLAIM F	EES Fee from Extra below Fee Pald	123	130	123	130	Petitions related to pr				
Total Claims 10	- 20** = <u>0</u> X <u>18.00</u> = <u>0</u>	126 581	180	126	180	Submission of Information Disclosure Stmt				
	3** = <u>D</u> X <u>84.00</u> = <u>0</u>	146	40 740	481 246		Recording each patent assignment per property (times number of properties)				
Multiple Dependent Large Entity Small	Entity =	149	740	249		Filing a submission after final rejection (37 CFR 1.129(a)) For each additional invention to be examined				
Fee Fee Fee Code (\$) Code	Fee Fee Description (\$)	470	7.40	070		(37 CFR 1.129(b))				
103 18 203	9 Claims in excess of 20	179	740	279		Request for Continued Examination (RCE)				
102 84 202 104 280 204	42 Independent claims in excess of 3 140 Multiple dependent claim	169	900	169	900	Request for expedited examination of a design application				
108 84 209	42 **Reissue independent claims over original patent	Other fee	(specify) :							
110 18 210	patent **Reissue claims in excess of 20 and over original patent	Other fee (specify):								
SUBTOTAL (2) (\$) 0 *Reduced by Basic Filing Fee Paid **Or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) (\$) 130.00								no		
	paid, if greater; For Reissues, see above	— —		_		JUDIOTAL				
SUBMITTED BY	Inffroy T. Holyay		Re	gistration	No.	44 757	Complete (If ap)	1 2 2257 7 11		
Name (Print/Type) Jeffrey T. Helvey			Registration No. (Attorney/Agent) 4			44,757	Telephone	202-371-2600		
Signature Date 2/21/02										